

# Optimization on Manifolds, Appendix: Topology

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## 1 Definitions

This serves as a light introduction into the topic of topology, but is not sufficient to have a complete or deep understanding. For further information, I suggest reading James Munkres' *Topology* text for a thorough introduction.

Just as an  $n$ -dimensional vector space is an abstraction of  $\mathbb{R}^n$ , a topology on a set  $X$  is an abstraction of open sets in  $\mathbb{R}^n$ .

A **topology** on a set  $X$  is a collection  $\mathcal{T}$  of open subsets of  $X$  such that:

1.  $X, \{0\} \in \mathcal{T}$
2. Given a subcollection  $\mathcal{S}$  of  $\mathcal{T}$ ,  $\cup_i S_i \in \mathcal{T}$
3. Given a finite subcollection  $\mathcal{S}$  of  $\mathcal{T}$ ,  $\cap_i S_i \in \mathcal{T}$

Thus a topology is the couple  $(X, \mathcal{T})$ , but when notation allows it will be abbreviated to  $X$ . Currently, the definition for topology is not well-defined as we do not know what "open" means.

Let  $X$  be a topological space. Let  $A$  be a subset of  $X$ . A **neighborhood** of a point  $x$  on  $X$  is a subset  $\mathcal{V}$  that includes an open set,  $\mathcal{U}$  containing  $x$ .

$$x \in \mathcal{U} \subset \mathcal{V} \subseteq X$$

A **limit point** or **accumulation point** of a subset  $A$  of  $X$  is a point  $x$  of  $X$  such that every neighborhood of  $x$  intersects  $A$  in some point *other than*  $x$ . Note that it is important to distinguish between intersecting at  $x$  and somewhere else. If the restriction of intersecting at points other than  $x$  were to be removed  $x$  would be a **point of closure**. Thus every point of closure is a limit point but not necessarily every limit point is a point of closure.

A subset is **closed** if and only if it contains all its limit points. An open set is naturally one that does not contain all its limit points.

A sequence of points  $\{x_k\}_{k=1,2,\dots}$  of  $X$  **converges** to a point  $x \in X$  if for every neighborhood  $\mathcal{U}$  of  $x$  there exists a positive integer  $K$  such that  $x_k$  belongs to  $\mathcal{U}$  for all  $k \geq K$ .

Since topology needs to satisfy relatively few axioms it is natural that properties that may hold for  $\mathbb{R}^n$  may not hold for  $X$ . As an example a **singleton** which is a set containing only one element may not be closed in the topological sense. Take for example the topology of  $[-1, 1]$ . The open sets are  $[-1, a)$  for  $a > 0$ ,  $(b, a)$  for  $a < 0, b > 0$ , and  $(b, 1]$  for  $b < 0$  (*Why?*). To avoid such situations **separation axioms** have been introduced to make a distinction between topologies.

A topological space  $X$  is  $T_1$ ; **accessible** or **Fréchet**, if for any distinct points  $x$  and  $y$  of  $X$  there is an open set containing  $x$  and not  $y$ . (Every singleton is closed.)

A topological space  $X$  is  $T_2$ , **Hausdorff**, if any two distinct points of  $X$  have disjoint neighborhoods. A Hausdorff topology means that any sequence of points on  $X$  converges to at most one point of  $X$ . (*Why?*)

Let  $\mathcal{T}_1$  and  $\mathcal{T}_2$  be topological spaces of  $X$ . If  $\mathcal{T}_1 \subseteq \mathcal{T}_2$  then  $\mathcal{T}_2$  is said to be **finer**.

A **base** or **basis** for a topology on set  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  such that

1. each  $x \in X$  belongs to at least one element in  $\mathcal{B}$
2. if  $x \in (B_1 \cap B_2)$  with  $B_1, B_2 \in \mathcal{B}$ , then there exists  $B_3 \in \mathcal{B}$  such that  $x \in B_3 \subseteq B_1 \cap B_2$ .

If  $\mathcal{B}$  is a base for topology  $\mathcal{T}$  then  $\cup_i \mathcal{B} = \mathcal{T}$  and a topology is denoted as **second-countable** if it's base is finite.

If  $X$  and  $Y$  are topological spaces then the **product topology**  $X \times Y$  has the base  $\mathcal{B}$  which is the collection of all sets of the form  $U \times V$  where  $U$  is an open set of  $X$  and  $V$  is an open set of  $Y$ .

If  $Y$  is a subset of a topological space  $(X, \mathcal{T})$ . Then  $\mathcal{T}_Y = \{Y \cap U \mid U \in \mathcal{T}\}$  is a topology on  $Y$  called the **subspace topology**.

A collection  $\mathcal{A}$  of subsets of  $X$  **cover** or is a **covering** of  $X$  if the union of the elements of  $\mathcal{A}$  is equal to  $X$ .

**Heine-Borel Theorem:** A subset of  $\mathbb{R}^n$  with the subspace topology is compact if and only if it is closed and bounded.